

11-15-00

Pre-Amendment A #2
D. Bell
4/6/01

Patent
259/025

09/712692
U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 259/025
First Named Inventor: Naghi, et al
Prior Application Information:
Serial No. 09/330,322
Examiner: Alavi, A.
Art Unit: 2875

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/330,322 filed on June 11, 1999, by

David NAGHI; Gilbert FREGOSO, entitled:

APPARATUS FOR ILLUMINATING A PORTABLE ELECTRONIC OR
COMPUTING DEVICE

~~For~~ CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

APPLICATION ELEMENTS ENCLOSED

EL356077936US

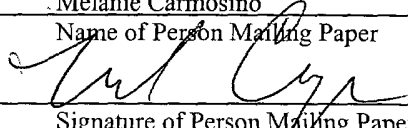
SD-157007 I

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL356077936US
Express Mail Label No.

November 13, 2000
Date of Deposit

Melanie Carmosino
Name of Person Mailing Paper

Signature of Person Mailing Paper

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6 Page(s) of Written Description
3 Page(s) of Claims
1 Page(s) of Abstract
1 Sheet(s) of Drawings ☒ formal ☐ informal
4 Page(s) of ☐ Declaration or ☒ Declaration and Power of Attorney
☒ Copy from prior application [37 CFR §1.63(d)]
☐ Newly executed
Other:

- ☐ Assignment papers (cover sheet and documents(s))
☒ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.
☒ A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27: ☐ Is attached. ☒ Has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)]

FEE CALCULATION

BASIC FILING FEE:						\$710.00
Total Claims	18	-	20	=	0	x \$18.00 \$0.00
Independent Claims	2	-	3	=	0	x \$80.00 \$0.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$710.00
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						<input checked="" type="checkbox"/> \$355.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
TOTAL FEES DUE HEREWITH						\$355.00

III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. _____ filed on _____ in Country is claimed under 35 USC § 119.
☐ The certified copy has been filed in prior U.S. application Serial No. _____ on _____.
☐ The certified copy will follow.

IV. AMENDMENTS

- ☒ Cancel in this application original Claims 1-22 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)

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- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

V. RELATE BACK - 35 USC § 120

- ☐ Relate back information included in preliminary amendment or specification.
- ☒ Please amend the specification as follows:

--This application is a continuation of U.S. Application Serial No. 09/330,322,
filed on June 11, 1999.--

- ☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☒ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:

[Name(s) of inventor(s) to be deleted]

FEE PAYMENT BEING MADE AT THIS TIME

- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]
- ☒ Attached.

- | | |
|---|-----------------|
| <input checked="" type="checkbox"/> Filing fees. | <u>\$355.00</u> |
| <input type="checkbox"/> Recording assignment. [\$40.00 37 CFR § 1.21(h)(1)] | ----- |
| <input type="checkbox"/> Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.
[\$130.00; 37 CFR §§ 1.47 and 1.17(h)] | ----- |
| <input type="checkbox"/> Petition fee to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently.)
[\$130.00; 37 CFR §§ 1.103 and 1.17(i)] | ----- |
| <input type="checkbox"/> For processing an application with a specification in a non-English language.
[\$130.00; 37 CFR §§ 1.52(d) and 1.17(k)] | ----- |
| <input type="checkbox"/> Processing and retention fee.
[\$130.00; 37 CFR §§ 1.53(f) and 1.21(l)] | ----- |

Total Fees Enclosed \$355.00

VII. METHOD OF PAYMENT OF FEES

- ☐ Attached is a check in the amount of _____.
- ☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$355.00.

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VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 (Application processing fees)
- ☐ 37 CFR § 1.18 (Issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR § 1.311(b))
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on _____ in prior application Serial No. _____.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071

Please direct all inquiries to Stephen C. Beuerle, at (858) 552-8400.

X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until _____. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.
- ☒ Applicant does not wish to abandoned parent application Serial No. 09/330,322, filed on June 11, 1999, by way of the filing of this continuation application. Applicant wishes to maintain the co-pendency of parent application Serial No. 09/330,322, filed on June 11, 1999.

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XI. ABANDONMENT OF PRIOR APPLICATION

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

By: Stephen C. Beuerle
Stephen C. Beuerle
Reg. No. 38,380

Dated: November 13, 2000

Enclosures

Technology Creations, Inc. & Design Rite, LLC

Name of Assignee

Los Angeles, CA & Fontana, CA

Address of Assignee

Assignments recorded in PTO on May 26, 2000, at R/F 010883/0237, & R/F 010883/0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Naghi, David et al

Serial No.: Not yet assigned

Filed: Concurrently herewith

Continuation of Serial No.: 09/330,322

Filed: June 11, 1999

For: APPARATUS FOR ILLUMINATING
A PORTABLE ELECTRONIC OR
COMPUTING DEVICE

Anticipated Group Art Unit: 2875

Anticipated Examiner: Ali Alavi

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant respectfully requests entry of the following amendments to the above-captioned application before examination of this case:

In the Claims:

Please add the following claims into the application:

1. ~~23.~~ An apparatus for illuminating a display screen of a handheld portable video game device having a utility port in electrical connection with a power source, comprising:
a plug for plugging the apparatus into the utility port;

Cont'd
a body connected to the plug; and

an illumination device attached to the body and to be electrically connected to the utility port through the plug and the body, the illumination device to illuminate the display screen of the handheld portable video game device;

wherein the illumination device is powered by the power source when the apparatus is plugged into the utility port.

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~~24~~. An apparatus as recited in claim ~~23~~¹, wherein the illumination device is a LED.

3
~~25~~. An apparatus as recited in claim ~~24~~², wherein the LED is a white light emitting diode.

4
~~26~~. An apparatus as recited in claim ~~23~~¹, wherein the plug has a second utility port adapted to receive a second plug that is in electrical communication with the utility port when the second plug is plugged into the second utility port and the plug is plugged into the utility port.

5
~~27~~. An apparatus as recited in claim ~~23~~¹, wherein the body is comprised of a flexible arm.

6
~~28~~. An apparatus as recited in claim ~~23~~¹, wherein the body can be adjusted, when the apparatus is plugged into the utility port, to adjust the height of the LED relative to the handheld portable video game device.

7
~~29~~. An apparatus as recited in claim ~~23~~¹, wherein the body can be adjusted, when the apparatus is plugged into the utility port, to adjust the angle of the LED relative to the handheld portable video game device.

8
~~30~~. An apparatus as recited in claim ~~23~~¹, wherein the body further comprises a diffuser for diffusing light given off by the LED.

Cont'd

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31. An apparatus as recited in claim 23, wherein the body further comprises a magnifier.

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32. A method of illuminating a display screen of a handheld portable video game device having a utility port in electrical connection with a power source, comprising:
providing an apparatus including a plug for plugging the apparatus into the utility port, a body connected to the plug, and an illumination device attached to the body and to be electrically connected to the utility port through the plug and the body;
coupling the plug of the apparatus with the utility port of the handheld portable video game device so as to power the illumination device; and
illuminating the display screen of the handheld portable video game device with the illumination device.

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33. A method as recited in claim 32, wherein the illumination device is a LED.

12
34. A method as recited in claim 32, wherein the LED is a white light emitting diode.

13
35. A method as recited in claim 32, wherein the body is comprised of a flexible arm.

14
36. A method as recited in claim 32, wherein the body further comprises a magnifier.

15
37. A method as recited in claim 32, wherein the body further comprises a diffuser for diffusing light given off by the illumination device.

16
38. A method as recited in claim 32, wherein the body further comprises a regulator for varying the intensity of light given off by the illumination device, and the

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method further comprises adjusting the regulator to regulate an intensity of light given off by the illumination device.

¹⁷
~~39~~. A method as recited in claim ¹⁰~~32~~, wherein the plug has a second utility power jack adapted to receive a second plug that is in electrical communication with the utility port when the second plug is plugged into the second port and the plug is plugged into the utility port, and the method further comprises coupling a second plug to the second utility port.

Concl'd ¹⁶
~~40~~. A method as recited in claim ¹⁰~~32~~, wherein the display screen is a non-backlit display screen.--

REMARKS

Applicant respectfully requests that the foregoing amendments be entered before examination of the above-captioned application. Newly added claims 23-40 are pending in the application.

CONCLUSION

On the basis of the above, early allowance of the application is believed to be warranted and such action is respectfully requested. If the Examiner has any questions or comments regarding this amendment, a telephone call to the undersigned at the number listed below is respectfully urged.

Patent
259/025

Respectfully submitted,

LYON & LYON LLP

By: 

Stephen C. Beuerle
Reg. No. 38,380

Dated: November 13, 2000.

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
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